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Attorney Docket No.: 10251-027

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of	:	Robert J. FEILBOGEN, et al.
Entitled	:	METHOD AND SYSTEM FOR FOREIGN EXCHANGE PRICE PROCUREMENT AND AUTOMATED HEDGING
U.S. Serial No.	:	09/871,569
Filing Date	:	May 31, 2001
Our Reference	:	10251-027

**INFORMATION
DISCLOSURE
STATEMENT**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith a PCT International Search Report, a PTO-1449 form, and the information listed thereon. This information was cited in the corresponding PCT application.

It is requested that the information listed in the accompanying PTO-1449 form be considered herein.

Respectfully submitted,
PROSKAUER ROSE LLP
Attorneys for the Applicant(s)

Date: September 17, 2001

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By Rachel S. Watt
Rachel S. Watt, Patent Agent
Reg. No. 46,186

Enclosures: PTO-1449 Form w/ cited references
PCT International Search Report



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PTO/SB/17 (09-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,266.00

Compleat if Known

Application Number	09/871,569
Filing Date	May 31, 2001
First Named Inventor	Robert J. FEILBOGEN
Examiner Name	
Group Art Unit	2161
Attorney Docket No.	10251-027

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **16-2500**
Deposit Account Name **Proskauer Rose LLP**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
37	-20** = 17	18	306.00
4	-3** = 1	80	80.00
Multiple Dependent			

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 386.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00

SUBMITTED BY

Name (Print/Type)	Rachel S. Watt, Patent Agent	Registration No. (Attorney/Agent)	46,186	Telephone	212.969.3000
Signature	<i>Rachel Watt</i>	Date	Sep 17, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Form PATO-1449 (Rev. 6-98) U.S. Department of Commerce Patent and Trademark Office										Attorney Docket No. 10251-027		Serial No. 09/871,569	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)										Applicant Robert J. FEILBOGEN			
										Filing Date 5/31/01		Group Art Unit 2161	
U.S. PATENT DOCUMENTS													
Examiner Initial		Document Number						Date	Name	Class	Sub Class		
		5	6	2	0	1	8	2	4/15/97	Rossides			
		5	7	0	4	0	4	5	12/30/97	King, et al.			
		5	8	8	4	2	7	4	3/16/99	Walker, et al.			
FOREIGN PATENT DOCUMENTS													
Examiner Initial		Document Number						Date	Country	Class	Sub Class	Translation	
												Yes	No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)													
Examiner								Date Considered					
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP§60 : Draw line through citation if not in conformance and not considered, include copy of this form with next communication to the patent owner.													